

Substitute Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.

08191-014002

Application No.

09/909,460

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant

Lynn B. Lunsford et al.

Filing Date

July 18, 2001

Group Art Unit

1632

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>Dr</i>	AA	4,652,441	03/24/1987	Okada et al.			
	AB	4,711,782	12/08/1987	Okada et al.			
	AC	4,853,226	08/01/1989	Machida et al.			
	AD	4,917,893	04/17/1990	Okada et al.			
	AE	4,933,105	06/12/1990	Fong			
	AF	5,061,492	10/29/1991	Okada et al.			
	AG	5,540,937	07/30/1996	Billot et al.			
	AH	5,643,605	7/1/1997	Cleland et al.			
	AI	5,648,095	07/15/1997	Illum et al.			
	AJ	5,654,008	08/05/1997	Herbert et al.			
	AK	5,869,103	02/09/1999	Yeh et al.			
	AL	5,885,590	03/23/1999	Hunter et al.			
	AM	6,020,004	02/01/2000	Shah			
	AN	6,048,551	04/11/2000	Hilfinger et al.			
	AO	6,113,947	09/05/2000	Cleland et al.			
	AP	6,217,908	04/17/2001	Mathiowitz et al.			
	AQ	6,248,720	06/19/2001	Mathiowitz et al.			
	AR	6,270,795	08/07/2001	Jones et al.			
	AS	6,309,569	10/20/2001	Farrar et al.			
	AT	6,406,719	06/18/2002	Farrar et al.			
	AU	6,565,777	05/20/2003u	Farrar et al.			

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>Dr</i>	AV	EP 0 263 490	04/13/1988	Europe				
	AW	EP 0 779 072	06/18/1997	Europe				
	AX	GB 2234896	02/20/1991	Great Britain				

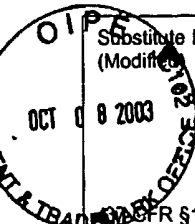
Examiner Signature

Dr



Date Considered

8/6/04


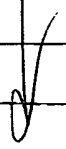
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

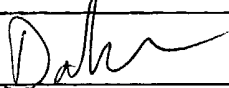
	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 08191-014002	Application No. 09/909,460
	Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Lynn B. Lunsford et al.	
			Filing Date July 18, 2001	Group Art Unit 1632

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AY	GB 2265311A	09/29/1993	Great Britain				
	AZ	GB 2310801A	09/10/1997	Great Britain				
	AAA	WO 95/11009	04/27/1995	WIPO				
	ABB	WO 97/35563	10/02/1997	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	ACC	Allen et al. "Biological Properties of Equine Herpesvirus Type 1 DNA: Transfectivity and Transferring Capacity" <i>Infection and Immunity</i> 22: 34-40 (1978)
	ADD	Elsner et al., "Ultrasonic Degradation of DNA" <i>DNA</i> 8: 697-701 (1989)
	AEE	European Patent Office, English language abstract for Japanese Patent No. 06-009377
	AFF	Marquet et al. "Toxicity of tungsten", <i>The Lancet</i> 349:58-59 (1997)
	AGG	Tinsley-Brown et al. <i>J. Con. Rel.</i> 66: 229-241 (2000)
	AHH	Walter et al. "Microencapsulation of DNA using...characteristics", <i>ELSEVIER</i> 61:361-374 (1999)
	AII	Yan et al., <i>Journal of controlled Release</i> 32:231-241 (1994)
	AJJ	Yung-Yueh Hsu et al. "Comparison of Process Parameters for Microencapsulation of Plasmid DNA...Microspheres", <i>J. of Drug Targeting</i> 7(4):313-323 (1999)
	AKK	Dialog File 351, Accession No. 81-32924D/198119, Derwent WPI English language Abstract for Document AL1 (EP 0 027 662 A1)
	ALL	Dialog File 351, Accession No. 86-108252/198617, Derwent WPI English language Abstract for Document AM1 (EP 0 179 023 A2)
	AMM	Dialog File 351, Accession No. 92-166845/199220, Derwent WPI English language Abstract for Document AL3 (WO 92/06666)
	ANN	Dialog File 351, Accession No. 94-167187/199420, Derwent WPI English language Abstract for Document AN3 WO 94/09898)
	AOO	Dialog File 351, Accession No. 95-123218/199516, Derwent WPI English language Abstract for Document AM4 (WO 95/07072)
	APP	Dialog File 351, Accession No. 95-240449/199531, Derwent WPI English language Abstract for Document AN4 (WO 95/17167)

Examiner Signature 	Date Considered 8/16/04
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered! Include copy of this form with next communication to applicant.	